



Virgin Islands

Office of the Lieutenant Governor  
Division of Banking and Insurance

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Kongens Gade #5049, Charlotte Amalie, St. Thomas, USVI, 00802 • (340) 774-7166 • Fax (340) 774-9458

No. 3/2006

**ORDER**

**WHEREAS**, the Lieutenant Governor or his designee, as Administrator of the Uniform Securities Act as set forth in 9 V.I.C. § 602(1) and § 661(a), may issue forms and orders pursuant to 9 V.I.C. § 665(a)(1); and

**WHEREAS**, 9 V.I.C. § 635 provides requirements for the notice filing of federal covered investment advisers; and

**WHEREAS**, Act 6585, Section 13 thereof authorizes the departments and agencies of the Government of the Virgin Islands to increase administrative and licensing fees established under provisions of the Virgin Islands Code to cover administrative or regulatory costs.

**NOW, THEREFORE**, the premises having been considered as set forth above, and the Administrator having found that this Order, in concert with 9 V.I.C. § 665, is necessary or appropriate in the public interest and is consistent with the purposes of the Uniform Securities Act; it is hereby

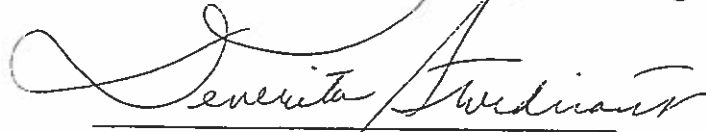
**ORDERED** that a person acting as a federal covered investment adviser, that has previously filed a notice pursuant to 9 V.I.C. § 635, must, prior to the anniversary date of the notice filing, submit to the Division of Banking and Insurance the following:

1. A current Form ADV, which includes all amendments made subsequent to the applicant's most recent filing in the U.S. Virgin Islands and all information and exhibits required by such form as submitted to the SEC;
2. If not previously submitted, a Consent to Service of Process Form which contains the signature of an authorized signatory of the applicant;
3. A nonrefundable renewal notice filing fee of \$100.00; and
4. Any financial or other information that the Administrator deems appropriate, and it is

**FURTHER ORDERED** that all applicants that have previously filed a Form ADV must, after an initial notice filing, record all amendments made thereto in the Virgin Islands by submitting to the Division of Banking and Insurance the following information:

1. All documents evidencing any amendments made subsequent to applicant's initial filing in the U.S.V.I. and all related information and exhibits;
2. An amendment filing fee of \$50; and
3. Such other information or submission as the Administrator may request.

Dated this 14<sup>th</sup> day of February 2006 at St. Thomas, U. S. Virgin Islands.

A handwritten signature in cursive script, appearing to read "Deverita Carty Sturdivant". The signature is written in black ink and is positioned above a horizontal line.

Deverita Carty Sturdivant

Director

Designee of the Lieutenant Governor/  
Securities Administrator