



Virgin Islands

Office of the Lieutenant Governor
Division of Banking and Insurance

Kongens Gade #5049, Charlotte Amalie, St. Thomas, USVI, 00802 • (340) 774-7166 • Fax (340) 774-9458

No. 4/2006

ORDER

WHEREAS, the Lieutenant Governor or his designee, as Administrator of the Uniform Securities Act as set forth in 9 V.I.C. § 602(1) and § 661(a), may issue forms and orders pursuant to 9 V.I.C. § 665(a)(1); and

WHEREAS, in accordance with 9 V.I.C. § 622(a) and (b), the Administrator may require the filing of certain documents with respect to a security issued by an investment company that is a federal covered security.

NOW, THEREFORE, the premises having been considered as set forth above, and the Administrator having found that this Order, in concert with 9 V.I.C § 665, is necessary or appropriate in the public interest and is consistent with the purpose of the Uniform Securities Act; it is hereby

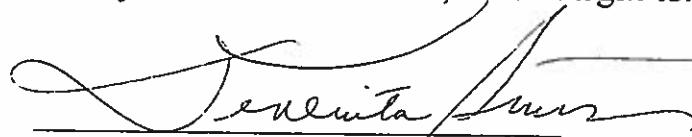
ORDERED that, pursuant to 9 V.I.C. § 622(b), a person executing the renewal of a notice filing on behalf of an investment company must, prior to the anniversary date of the initial notice filing, submit to the Division of Banking and Insurance the following:

1. A renewal filing for Form NF (Uniform Investment Company Notice Filing) which includes all amendments that have been made subsequent to the applicant's most recent filing in the Virgin Islands, or all records that are part of an amendment to the federal registration statement initially filed with the SEC (Securities and Exchange Commission);
2. A previously filed Form U-2 (Consent to Service of Process) may be incorporated by reference on the Form NF; and
3. A renewal fee of \$500.00 per fund or trust; and it is

FURTHER ORDERED that all applicants that have previously filed Form NF (Uniform Investment Company Notice Filing) must record all amendments made thereto by submitting to the Division of Banking and Insurance the following information:

1. All documents evidencing any amendments made subsequent to applicant's initial filing in the U.S.V.I. and all related information and exhibits;
2. An amendment filing fee of \$50; and
3. Such other information or submission as the Administrator may request.

Dated this 14th day of February 2006 at St. Thomas, U. S. Virgin Islands.



Deverita Carty Sturdivant
Director
Designee of the Lieutenant Governor/
Securities Administrator